

Progress Report: ONR Award N00149710589

“Heuristic Approaches to Optimization with Applications”

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1 Results

This progress report covers the twelve months of funding on this grant since my previous progress report (August 1999). This grant covers two distinct lines of work: (1) the development of algorithms and implementations of heuristic search with applications, and (2) theoretical research on combinatorial algorithms and optimization. As detailed below, substantial progress has been made in both areas since my last progress report.

The renewal grant began on January 1, 2000. This grant is supporting four PhD students starting September 1, meeting the scheduled expenditure for this fiscal year. Our work has proven interesting to a large number of Navy personnel, as documented by the WWW hits below.

2 Environments for Combinatorial Computing

We have made progress this year on several projects related to combinatorial computing:

- *Enhancements to Combinatorica* – We [1] have made substantial progress on our effort to build an improved version of our combinatorial computing environment *Combinatorica*. Our primary goals are improved algorithmic performance and a better user interface. We have completed an alpha-release of the software, as well as documentation of the new features; all available at <http://www.cs.sunysb.edu/~skiena/combinatorica>. This site also includes representative graphics produced by the system.

The new *Combinatorica* makes effective use of a new sparse-graph data structure [8], and is now capable of solving interesting problems on thousands or even hundreds of thousands of vertices, as opposed to the previous limit of about 100 vertices. The new system supports arbitrary edge/vertex colors, labels, and shapes; enhancing its

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ability to visualize graphical content and structure. Finally, we have provided powerful new algorithms for set partitions, Polya's theorem, and graphical enumeration. We are now building on this foundation to provide the generic support for combinatorial search promised in our proposal.

- *The Stony Brook Algorithm Repository* – Our collection of implementations of combinatorial algorithms [4] available on the WWW at <http://www.cs.sunysb.edu/~algorithm>, continues to be an important resource for a lot of people. There has been a dramatic increase in the number of military sites accessing the algorithm repository, with 819 military sites this year as opposed to 313 a year ago! The site now receives well over one million hits per year! A list of all presumed Navy sites¹ has been appended to this progress report.

This increase in interest is largely due to the efforts we made in upgrading the repository to make it more valuable to its users.

- *Combinatorial Dominance Guarantees for Heuristics* – The traditional theoretical measure of heuristic performance is approximation ratio, the guaranteed worst case gap between the optimal solution and one returned by this heuristic. However, the search-based local-improvement algorithms championed by our proposal offer very weak performance guarantees, even though they typically out-perform heuristics with superior approximation ratios.

We [13] have recently developed an alternate theoretical measure of the quality of a heuristic, namely its *combinatorial dominance guarantee*. Such a guarantee measures the number of candidate solutions provably inferior to that returned by the heuristic. The better the heuristic, the more solutions it must dominate. An interesting example of the power of this measure compares the 2-opt versus the minimum spanning tree heuristics for TSP. Two-opt offers no theoretical guarantee, while minimum spanning tree yields a factor-2 approximation. However, we have shown that the minimum spanning tree heuristic can produce the *worst* of all the $(n - 1)!$ tours, while the 2-opt solution always dominates an exponential number of tours. These results are in accord with the experimental results that 2-opt beats MST in practice.

We have obtained similar results in our analysis of heuristics for such problems as vertex cover and the knapsack problem, and we are working on the outlines of a general theory.

- *PGMT Scheduling* – One of the primary applications of our combinatorial optimization engine will be improved PGMT scheduling of parallel processors, in collaboration with the Naval Research Laboratories. We have recently obtained sample PGMT data from Dr. Ali Borujerdi of NRL and begun working with it.

3 Graph and Combinatorial Algorithms

We have also worked on a variety of other theoretical and applied problems in graph, string, and geometric algorithms:

¹Meaning all military sites not apparently associated with the Army, Air Force, or Marines.

- *Sheet Metal Assembly Problems* – We [5, 7] have studied the algorithmic complexity of certain geometric problems which arise in assembling structures from sheet metal and wires. In [5], we solved a long-standing open problem on the hardness of constructing an assembly sequence for a sheet structure from prescribed set of folds. In [7], we prove similar hardness results for building wire structures satisfying the physical requirement of non-crossing structures. We also provide efficient algorithms for interesting special cases.
- *Shift Error Detection in Standardized Exams* – We [3] have developed pattern recognition algorithms designed to identify shift errors in noisy sequences, with a particular application of identifying miss-marking errors on multiple choice, standardized examinations. Based on our analysis of over 100,000 SAT exams, we now have very convincing evidence that more than 2% of all students suffer from such errors on their SAT exams. Fortunately, our algorithms can help assign these students the grades that they deserve. We are now presenting our findings to the standardized testing community in the expectation that our algorithms be incorporated into their standard grading procedures.

We have also written a short book on mathematical modeling [9] based on our experiences with such projects.

- *Back-translation Problems in Biology* – Genes are DNA sequences which code for proteins. Due to redundancy in the triplet code, any protein of given length can be coded for in an exponential number of distinct ways. In [10], we propose to exploit this redundancy by designing genes whose intermediate RNA has the maximum amount of structure. This idea of designing biological structures has some relevance to nanotechnology, and our techniques of using dynamic programming to construct an optimal structure are of independent algorithmic interest.
- *Geometric Separability Problems* – Certain geometric clustering / pattern recognition algorithms work by partitioning the space into regions of similarly colored or labeled examples, seeking the simplest such decomposition. We [11, 12] have developed efficient algorithms for wedge separability in the plane, which we proved optimal by showing a matching lower bound even in a restricted case.

As to the impact on education human and resources, in this period of ONR funding I have advised four Ph.D students (Barry Cohen, Vinhthuy Phan, Pavel Sumazin, and Vladimir Filklov), who are in various stages of their doctoral work (second through fourth years). Three of these (Barry Cohen, Vinhthuy Phan, and Pavel Sumazin) are American citizens or permanent residents.

Among other accomplishments, I served on the Program committee for *Workshop on Algorithm Engineering* (WAE 2000), Saarbruecken, Germany, September 2000. and continued to serve as Associate Editor for the *ACM Journal of Experimental Algorithms*.

A list of recent publications by the PI acknowledging ONR grant support follows. These papers are available on-line at <http://www.cs.sunysb.edu/~skiena/papers.html>.

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- [13] S. Skiena, Combinatorial Dominance Guarantees for Heuristic Algorithms, manuscript in preparation.

Appendix: Recent Navy WWW hits on the SB Algorithm Repository

| Date | Navy Site | Date | Navy Site |
|-------------|------------------------------|-------------|-------------------------------|
| 02/Aug/1999 | gw1.navspace.navy.mil | 02/Aug/1999 | luey.nawcad.navy.mil |
| 04/Aug/1999 | theodosius.cs.nps.navy.mil | 09/Aug/1999 | ppp1-37.nadn.navy.mil |
| 10/Aug/1999 | luey.nawcad.navy.mil | 11/Aug/1999 | herman.cmf.nrl.navy.mil |
| 13/Aug/1999 | server.wing11.nadjx.navy.mil | 16/Aug/1999 | burns.cmf.nrl.navy.mil |
| 19/Aug/1999 | luey.nawcad.navy.mil | 23/Aug/1999 | mercury.nrlssc.navy.mil |
| 23/Aug/1999 | romulus.metnet.navy.mil | 25/Aug/1999 | kbb06.cmar.navy.mil |
| 25/Aug/1999 | m071585.lrc.nps.navy.mil | 26/Aug/1999 | luey.nawcad.navy.mil |
| 01/Sep/1999 | css-gw.ncsc.navy.mil | 01/Sep/1999 | fw1-5540.itd.nrl.navy.mil |
| 02/Sep/1999 | maggiemac.nswc.navy.mil | 02/Sep/1999 | triton.tycho.ncsc.mil |
| 03/Sep/1999 | d157-001.dhcp.nadn.navy.mil | 03/Sep/1999 | maggiemac.nswc.navy.mil |
| 05/Sep/1999 | cabrallm.npt.nuwc.navy.mil | 07/Sep/1999 | annex10-u109.itd.nrl.navy.mil |
| 09/Sep/1999 | luey.nawcad.navy.mil | 10/Sep/1999 | gatekeeper.corona.navy.mil |
| 16/Sep/1999 | ns2.nadepn1.navy.mil | 16/Sep/1999 | sabre.nosc.mil |
| 17/Sep/1999 | krolwp.npt.nuwc.navy.mil | 20/Sep/1999 | tucker5640.nrl.navy.mil |
| 21/Sep/1999 | gw.nnsy.navy.mil | 21/Sep/1999 | luey.nawcad.navy.mil |
| 22/Sep/1999 | cc32c65.navsea.navy.mil | 22/Sep/1999 | thematrix.ncsc.mil |
| 23/Sep/1999 | grooming.ncsc.mil | 23/Sep/1999 | mail.fttr.navy.mil |
| 23/Sep/1999 | proxy.nwc.navy.mil | 27/Sep/1999 | maggiemac.nswc.navy.mil |
| 27/Sep/1999 | ns2.nadepn1.navy.mil | 27/Sep/1999 | putnamd.npt.nuwc.navy.mil |
| 29/Sep/1999 | guojiangpc.cs.nps.navy.mil | 29/Sep/1999 | huey.ntsc.navy.mil |
| 29/Sep/1999 | nlschaos.nrl.navy.mil | 29/Sep/1999 | puppy1.ncsc.mil |
| 01/Oct/1999 | maggiemac.nswc.navy.mil | 03/Oct/1999 | mulan.nrl.navy.mil |
| 05/Oct/1999 | kahlua.nosc.mil | 06/Oct/1999 | chuey.nawcad.navy.mil |
| 06/Oct/1999 | sabre.nosc.mil | 07/Oct/1999 | chuey.nawcad.navy.mil |
| 07/Oct/1999 | luey.nawcad.navy.mil | 12/Oct/1999 | aphrodite.cs.nps.navy.mil |
| 12/Oct/1999 | luey.nawcad.navy.mil | 13/Oct/1999 | thematrix.ncsc.mil |
| 14/Oct/1999 | M40582.navo.navy.mil | 14/Oct/1999 | cxhendrick.med.navy.mil |
| 14/Oct/1999 | solita.nosc.mil | 16/Oct/1999 | pacfa.evepier.navy.mil |
| 19/Oct/1999 | hawc-mci.indy.navy.mil | 20/Oct/1999 | homer.nawcad.navy.mil |
| 20/Oct/1999 | lisa.nawcad.navy.mil | 20/Oct/1999 | maggie.nawcad.navy.mil |
| 20/Oct/1999 | norfolk-4.navtap.navy.mil | 20/Oct/1999 | otto.nawcad.navy.mil |
| 20/Oct/1999 | patty.nawcad.navy.mil | 21/Oct/1999 | mulder2.chinalake.navy.mil |
| 21/Oct/1999 | otto.nawcad.navy.mil | 21/Oct/1999 | patty.nawcad.navy.mil |
| 21/Oct/1999 | wiggum.nawcad.navy.mil | 22/Oct/1999 | cli01.nrl.navy.mil |
| 22/Oct/1999 | cnefw.nctsl.navy.mil | 22/Oct/1999 | hades.ncsc.mil |
| 25/Oct/1999 | tenement.nosc.mil | 29/Oct/1999 | jrw.nrl.navy.mil |
| 01/Nov/1999 | homer.nawcad.navy.mil | 01/Nov/1999 | lisa.nawcad.navy.mil |
| 01/Nov/1999 | maggie.nawcad.navy.mil | 01/Nov/1999 | otto.nawcad.navy.mil |
| 01/Nov/1999 | patty.nawcad.navy.mil | 01/Nov/1999 | selma.nawcad.navy.mil |
| 01/Nov/1999 | wiggum.nawcad.navy.mil | 02/Nov/1999 | m38152.navo.navy.mil |
| 02/Nov/1999 | mangw.nsanaples.navy.mil | 02/Nov/1999 | phoenix.nro.mil |
| 02/Nov/1999 | relay6.nima.mil | 04/Nov/1999 | lincoln.ait.nrl.navy.mil |
| 05/Nov/1999 | ag.ncis.navy.mil | 05/Nov/1999 | m38152.navo.navy.mil |
| 05/Nov/1999 | psiwade.nrlssc.navy.mil | 08/Nov/1999 | roadblock.missi.ncsc.mil |
| 08/Nov/1999 | shiloh.ait.nrl.navy.mil | 09/Nov/1999 | krolwp.npt.nuwc.navy.mil |
| 09/Nov/1999 | m38152.navo.navy.mil | 09/Nov/1999 | vainglory.ncsc.mil |
| 10/Nov/1999 | krolwp.npt.nuwc.navy.mil | 10/Nov/1999 | roadblock.missi.ncsc.mil |
| 10/Nov/1999 | triton.cfas.navy.mil | 11/Nov/1999 | roadblock.missi.ncsc.mil |
| 12/Nov/1999 | stahlr-2.navsses.navy.mil | 15/Nov/1999 | css-gw.ncsc.navy.mil |
| 17/Nov/1999 | guojiangpc.cs.nps.navy.mil | 18/Nov/1999 | printer-john.nosc.mil |
| 18/Nov/1999 | titan.phdns2c.navy.mil | 22/Nov/1999 | munch.nrl.navy.mil |
| 23/Nov/1999 | blimp.lakehurst.navy.mil | 23/Nov/1999 | maddencjw95.dt.navy.mil |
| 24/Nov/1999 | poe.nosc.mil | 24/Nov/1999 | sc033ws112.nosc.mil |
| 26/Nov/1999 | roadblock.missi.ncsc.mil | 29/Nov/1999 | kahlua.nosc.mil |
| 06/Dec/1999 | m071728.or.nps.navy.mil | 08/Dec/1999 | ag.ncis.navy.mil |
| 11/Dec/1999 | slc169.cc.nps.navy.mil | 14/Dec/1999 | mulder2.chinalake.navy.mil |
| 15/Dec/1999 | gw.psns.navy.mil | 15/Dec/1999 | krolwp.npt.nuwc.navy.mil |
| 15/Dec/1999 | plattmww95.navsses.navy.mil | 16/Dec/1999 | enews3.nrl.navy.mil |
| 17/Dec/1999 | sc036ws195.nosc.mil | 22/Dec/1999 | a054-013.crane.navy.mil |
| 22/Dec/1999 | mulan.nrl.navy.mil | 27/Dec/1999 | dcceds33.smartlink.navy.mil |
| 27/Dec/1999 | feliujl.npt.nuwc.navy.mil | 28/Dec/1999 | moses.radium.ncsc.mil |
| 30/Dec/1999 | mulder2.chinalake.navy.mil | 04/Jan/2000 | stinky.nrl.navy.mil |

| Date | Navy Site | Date | Navy Site |
|-------------|-------------------------------|-------------|-------------------------------|
| 05/Jan/2000 | dcceeds33.smartlink.navy.mil | 05/Jan/2000 | dolezal.san.mrms.navy.mil |
| 07/Jan/2000 | css-gw.ncsc.navy.mil | 11/Jan/2000 | nls8.nrl.navy.mil |
| 12/Jan/2000 | isar-pc.nrl.navy.mil | 12/Jan/2000 | m089771.gl.nps.navy.mil |
| 12/Jan/2000 | stinky.nrl.navy.mil | 13/Jan/2000 | gw.psns.navy.mil |
| 19/Jan/2000 | lidia.nosc.mil | 21/Jan/2000 | castellivjw95.dt.navy.mil |
| 21/Jan/2000 | slc170.cc.nps.navy.mil | 21/Jan/2000 | zephir.cs.nps.navy.mil |
| 25/Jan/2000 | artaud.cmf.nrl.navy.mil | 26/Jan/2000 | mulder2.chinalake.navy.mil |
| 27/Jan/2000 | moe.ncts.navy.mil | 31/Jan/2000 | donhqns5.hq.navy.mil |
| 31/Jan/2000 | gw.phnsy.navy.mil | 01/Feb/2000 | buffett.wes.hpc.mil |
| 02/Feb/2000 | css-gw.ncsc.navy.mil | 02/Feb/2000 | curry.nosc.mil |
| 03/Feb/2000 | zaku.nrl.navy.mil | 05/Feb/2000 | lopezh-lt.npt.nuwc.navy.mil |
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| 17/Feb/2000 | dc-ceds3-3.smartlink.navy.mil | 17/Feb/2000 | ns2.nadepni.navy.mil |
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| 22/Feb/2000 | relay.nima.mil | 23/Feb/2000 | denver.cs.nps.navy.mil |
| 23/Feb/2000 | gatekeeper.corona.navy.mil | 23/Feb/2000 | maggiemac.nswc.navy.mil |
| 24/Feb/2000 | 131-250-18-83.onr.navy.mil | 28/Feb/2000 | fw1-5540.itd.nrl.navy.mil |
| 02/Mar/2000 | homer.nawcad.navy.mil | 02/Mar/2000 | maggie.nawcad.navy.mil |
| 02/Mar/2000 | noca.ecr.navy.mil | 02/Mar/2000 | otto.nawcad.navy.mil |
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| 02/Mar/2000 | selma.nawcad.navy.mil | 02/Mar/2000 | wiggum.nawcad.navy.mil |
| 03/Mar/2000 | ruggpen.npt.nuwc.navy.mil | 03/Mar/2000 | sandiego-proxy.cnet.navy.mil |
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| 07/Mar/2000 | rameses.radium.ncsc.mil | 07/Mar/2000 | ras2-178.npt.nuwc.navy.mil |
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| 16/Mar/2000 | gateway-alameda.uscg.mil | 16/Mar/2000 | mangw.nsanaples.navy.mil |
| 17/Mar/2000 | ws23.darpa.mil | 20/Mar/2000 | a104-111.crane.navy.mil |
| 20/Mar/2000 | hades.ncsc.mil | 20/Mar/2000 | wcs2.norfolk.nipr.mil |
| 21/Mar/2000 | gvonkamr.nosc.mil | 21/Mar/2000 | hawc-mci.indy.navy.mil |
| 21/Mar/2000 | madweed8.ncsc.mil | 21/Mar/2000 | sc036ws137.nosc.mil |
| 22/Mar/2000 | m089771.gl.nps.navy.mil | 22/Mar/2000 | maggiemac.nswc.navy.mil |
| 22/Mar/2000 | moses.radium.ncsc.mil | 24/Mar/2000 | host24.cs.nps.navy.mil |
| 24/Mar/2000 | titan.phdnsnc.navy.mil | 25/Mar/2000 | openlab3.physics.nps.navy.mil |
| 28/Mar/2000 | gw.phnsy.navy.mil | 28/Mar/2000 | ingel.nrl.navy.mil |
| 28/Mar/2000 | ssminnow.socso.southcom.mil | 31/Mar/2000 | sequoia.nrl.navy.mil |
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| 03/Apr/2000 | penu1271.cnet.navy.mil | 03/Apr/2000 | wcs2.norfolk.nipr.mil |
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| 07/Apr/2000 | m087017.gl.nps.navy.mil | 07/Apr/2000 | mulder2.chinalake.navy.mil |
| 07/Apr/2000 | wcs2.norfolk.nipr.mil | 10/Apr/2000 | dmzgate2.nadjx.navy.mil |
| 10/Apr/2000 | fhu-lap0db5.fhu.disa.mil | 11/Apr/2000 | dc-ceds3-3.smartlink.navy.mil |
| 11/Apr/2000 | disocache.ncr.disa.mil | 11/Apr/2000 | rpaowlakpc.nswc.navy.mil |
| 11/Apr/2000 | wcs1.norfolk.nipr.mil | 11/Apr/2000 | wcs2.norfolk.nipr.mil |
| 11/Apr/2000 | wcs3.norfolk.nipr.mil | 12/Apr/2000 | amsaa-athletics.arl.mil |

| Date | Navy Site | Date | Navy Site |
|-------------|--------------------------------------|-------------|-----------------------------------|
| 12/Apr/2000 | tuba.nswc.navy.mil | 13/Apr/2000 | dc-ceeds3-3.smartlink.navy.mil |
| 13/Apr/2000 | wcs2.norfolk.nipr.mil | 14/Apr/2000 | rangeshelter.ncsc.mil |
| 14/Apr/2000 | wcs2.norfolk.nipr.mil | 17/Apr/2000 | gw-gccs.cpf.navy.mil |
| 17/Apr/2000 | wcs2.norfolk.nipr.mil | 18/Apr/2000 | wcs2.norfolk.nipr.mil |
| 19/Apr/2000 | homer.nawcad.navy.mil | 19/Apr/2000 | lisa.nawcad.navy.mil |
| 19/Apr/2000 | maggie.nawcad.navy.mil | 19/Apr/2000 | otto.nawcad.navy.mil |
| 19/Apr/2000 | patty.nawcad.navy.mil | 19/Apr/2000 | rm-106lcpo.pearl.navy.mil |
| 19/Apr/2000 | roadblock.missi.ncsc.mil | 19/Apr/2000 | uproxy-out.cpf.navy.mil |
| 19/Apr/2000 | wiggum.nawcad.navy.mil | 20/Apr/2000 | m071823.gl.nps.navy.mil |
| 20/Apr/2000 | wcs3.norfolk.nipr.mil | 21/Apr/2000 | ch000757.med.navy.mil |
| 21/Apr/2000 | m2b-57.dsmd.dsm.mil | 22/Apr/2000 | nrad-ascend-ppp74.nosc.mil |
| 24/Apr/2000 | css-gw.ncsc.navy.mil | 24/Apr/2000 | dns25.dfas.mil |
| 24/Apr/2000 | gw-gccs.cpf.navy.mil | 25/Apr/2000 | cedb.nosc.mil |
| 25/Apr/2000 | erwing.navsses.navy.mil | 25/Apr/2000 | hawc-mci.indy.navy.mil |
| 25/Apr/2000 | ruggpen.npt.nuwc.navy.mil | 25/Apr/2000 | uproxy-out.cpf.navy.mil |
| 26/Apr/2000 | ghouls.ncsc.mil | 09/May/2000 | msproxy.transcom.mil |
| 09/May/2000 | ns2.jntf.osd.mil | 10/May/2000 | coruscant.empire.eclipse.ncsc.mil |
| 10/May/2000 | ghagapc.nswc.navy.mil | 10/May/2000 | tjim.arl.mil |
| 11/May/2000 | zen.nrl.navy.mil | 12/May/2000 | birdy.npt.nuwc.navy.mil |
| 12/May/2000 | wcs1.norfolk.nipr.mil | 13/May/2000 | bremnetPPP-151.dt.navy.mil |
| 13/May/2000 | uproxy-out.cpf.navy.mil | 14/May/2000 | bremnetPPP-151.dt.navy.mil |
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